

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER LU 6152 (US) U.S. APPLICATION NO. (Inform. Sheet 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/583575</div>
INTERNATIONAL APPLICATION NO. PCT/EP2004/014253	INTERNATIONAL FILING DATE 15 December 2004	PRIORITY DATE CLAIMED 19 Dec 2003; 10 Feb 2004
TITLE OF INVENTION MONOCYCLOPENTADIENYL COMPLEXES		
APPLICANT(S) FOR DO/EO/US BASELL POLYOLEFINE GmbH		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Receipt acknowledgment card Copy of Internat'l Appln. Express mail certificate 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/583575		INTERNATIONAL APPLICATION NO. PCT/EP2004/014253		ATTORNEY'S DOCKET NUMBER LU 6151 (US)	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400	
TOTAL OF 21, 22 and 23 =				\$ 900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	150 =		x \$250	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	17 - 20 =		x \$ 50	\$ 0	
Independent claims	1 - 3 =		x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 900	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
TOTAL FEES ENCLOSED =				\$ 900	
				Amount to be refunded:	\$ 0
				Amount to be charged:	\$ 900
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>08-2336</u> in the amount of \$ <u>900</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-2336</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
34872					
Jarrod N. Raphael					
Basell USA Inc.					
912 Appleton Road					
Elkton MD 21921					
ph: 410-996-1750					
fax: 410-996-1560					
<p>SIGNATURE _____</p> <p>Jarrod N. Raphael</p> <p>NAME _____</p> <p>55,566</p> <p>REGISTRATION NUMBER _____</p>					

EXPRESS MAILING CERTIFICATE

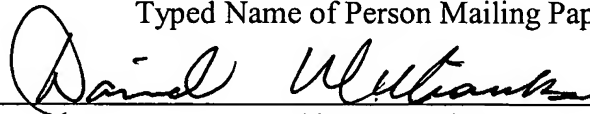
This certifies that the attached **PTO Form 1390 (in duplicate)**, Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 USC 371, and **Transmittal Letter, Application Data Sheet (3 pages), Preliminary Amendment (2 pages), Attachment A – Claims (8 pages), Copy of International Application (66 pages – 60 pages of text, 5 pages of 9 claims, 1 page of Abstract)** for the patent application of **Shahram Mihaan et al. for MONOCYCLOPENTADIENYL COMPLEXES** (our ref: **LU 6151 (US)**) is being mailed by "Express Mail Post Office to Addressee" service in an envelope addressed:

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

and deposited on **June 19, 2006** as "Express Mail" in the United States Postal Service, the number of the "Express Mail" mailing label being **ER935732924US**.

David Wilbanks

Typed Name of Person Mailing Paper or Fee



Signature of Person

10/583575

legal/PTO trans ltr

IAP12 Rec'd PCT/PTO ^{LU 6151 (US)} 19 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)
)
Shahram Mihan et al.)
)
Serial No. **NOT ASSIGNED YET**)
)
Filed: **June 19, 2006**)
)
For **MONOCYCLOPENTADIENYL COMPLEXES**)

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

TRANSMITTAL LETTER


Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- ☒ Transmittal Letter
- ☒ Postcard
- ☒ Form PTO1390 (Transmittal Letter to the US Designated Office) (in duplicate)
- ☒ Application Data Sheet (3 pages)
- ☒ Preliminary Amendment (2 pages)
- ☒ Attachment A (CLAIMS) (8 pages)
- ☐ Copy of PCT Request including Declaration (pages)
- ☐ Copy of Declaration (pages)
- ☒ Copy of International Application - 66 page(s) consisting of: 60 page(s) Textual Specification
5 page(s) of 9 Claims
1 page containing the Abstract
- ☐ Copy of Assignment (pages)
- ☐ Assignment Cover Sheet
- ☐ Response (pages)
- ☐ Other ()

Respectfully submitted,

SHAHRAM MIHAN ET AL.

Basell USA Inc.
912 Appleton Road
Elkton, Maryland 21921
Date: **June 19, 2006**

By 
Jarrod N. Raphael
Registration No. 55,566
Agent for Applicant